



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/699,417	10/31/2003	Shivkumar Mahadevan	VTN 5023	2097
27777 7590 04/16/2007 PHILIP S. JOHNSON JOHNSON & JOHNSON ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003			EXAMINER DRODGE, JOSEPH W	
			ART UNIT	PAPER NUMBER
			1723	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		04/16/2007	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

## Office Action Summary

Application No.

10/699,417

Applicant(s)

MAHADEVAN ET AL.

Examiner

Joseph W. Drodge

Art Unit

1723

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 07 March 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-11, 13 and 15-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11, 13 and 15-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

Claims 1-11,13 and 15-17 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 1, clause concerning "R5", recitations including "preferably" and "more preferably" render the claim indefinite by presenting optional ranges within larger ranges.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was

Art Unit: 1723

not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-4,10,11,13 and 15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hoffman et al patent 5,607,518 (newly cited) in view of Bawa et al patent 6,071,439 and Romack et al patent 6,200,393 (also newly cited), and taken in view of one or more of the group of patents encompassing Nicholson et al patent 5,760,100, and Spinelli et al patents 5,371,147, 5,019,628 or 4,810,756.

Hoffman et al disclose separating polymers used in preparing contact lenses, unreacted monomers used in the polymerization process thereof and other impurities. Supercritical fluid is applied thereto during agitation and separate phases of purified polymer, unreacted monomer with the supercritical fluid and impurities result. See Hoffman et al at column 3, lines 23-55 and column 4, lines 10-20 and 41-67.

The claims firstly differ in obtaining of a separate purified monomer. Romack et al teach application of supercritical carbon dioxide in the purification of polymerization processes with separation of monomers and polymers from the solvent employed to result in a purified monomer product. It would have been obvious to one of ordinary skill in the art to have separated the applied supercritical fluid from separated monomers and polymers in the Hoffman process, as taught by Romack (column 5, line 66-column 6, line 27 and column 6, line 49-column 7, line 7), in order to enable continuous reuse of the solvent in an industrial process. The claims all differ from Hoffman et al in the particular silicone monomers. Generally, various acrylic star monomers are claimed.

However, Bawa et al disclose applying of the process to any conventional silicone monomers (column 3, lines 4-49) or to any lens-forming material (column 5, lines 3-13. Bawa et al teach removal of impurities from manufacture of silicone-containing polymers and other polymers used in the manufacture of contact lenses by ***optionally plural steps or stages of*** solvent extraction with carbon dioxide in the supercritical state (column 1, line 60-column 2, lines 58). The extraction may be incorporated into any manufacturing step of the process of making the contact lenses (column 4, lines 23-32 and 62-68 and column 5, lines 13-16). The extraction is conducted under conditions of high temperature and high pressure, hence high density, in a closed chamber (column 7, lines 8-20), and afterwards purged when densities and pressures are suggested as returning to lower ambient conditions. ***Bawa discloses the contact lens materials being formed from a mixture of monomers including methacrylic acids and acrylic acids (column 3, lines 4-32) and also discloses that the contact lens materials include a not fully polymerized material that includes unreacted mixtures of monomers and oligomers, which also comprise impurities to be removed.***

Each of the Spinelli '756, '628 and '147 patents and Nicholson teach employ of the recited acrylic star monomers and co-polymers in the manufacture of contact lenses (see the respective Summary of the Invention portions of texts regarding properties of the particular monomers). It would have been obvious to one of ordinary skill in the art to have selected the claimed acrylic star monomers taught by Spinelli '756, '628, or '147 or Nicholson, in combination with Bawa et al in the manufacture of the contact lenses of

Hoffman et al, to result in contact lens products having favorable characteristics such as improved oxygen permeability, toughness and strength.

Regarding claims 2-4, the references disclose use of supercritical fluid.

Regarding claims 10 and 11, high pressures and temperatures are taught in Bawa at column 7, lines 10-20.

For claim 13, various polymerizable monomers are disclosed in each of the applied references.

For compositions containing the particular siloxane monomers of claims 15-17, see in particular Nicholson at columns 28,36 and 38, especially column 28, lines 27-52, which depicts siloxane monomers as imparting especially high oxygen permeability to the contact lenses being manufactured.

Claims 5-9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hoffman et al patent 5,607,518 (newly cited) in view of Bawa et al patent 6,071,439 and Romack et al patent 6,200,393 (also newly cited), and taken in view of one or more of the group of patents encompassing Nicholson et al patent 5,760,100, and Spinelli et al patents 5,371,147, 5,019,628 or 4,810,756 as applied to claims 1-4,10,11,13 and 15-17 and further in view of Pilat patent 2,188,033, of record.

These claims may be considered to further differ in requiring the separation of two phases resulting from the solvent extraction to explicitly be caused by a lowering of density of the extractant. However, ***Bawa also teaches optionally several stages or***

Art Unit: 1723

***steps of supercritical fluid solvent extraction (SCFE) required (column 4, lines 30-32 and Example 3), it is inferred that individual stages of the SCFE treatment result in phases containing both polymeric product and residual monomer(s) and other phases containing other impurities.***

Also, Pilat teaches to separate impurities from high molecular weight oily or other petrochemical or hydrocarbon mixtures by carbon dioxide so as to lower the density of the mixture to separate the mixture into two liquid phases (page 1 from line 36 of column 1 to line 25 of column 2), with the impurities further separated into separate fractions by varying the pressures and temperatures, and hence the densities of the extraction in a series of sequential stages (page 2 from line 45 of column 1 to line 17 of column 2). If necessary, it would have been further obvious to one of ordinary skill in the art to have operated the Hoffman et al/Bawa et al solvent extraction process by effecting separation of purified silicone polymer from the undesired impurities, as taught by Pilat, to fractionate the varied, different contaminants of the mixture being separated, so as to result in a more complete separation and purification of the polymeric mixture.

Applicant's arguments with respect to claims 1-11,13 and 15-17 have been considered but are moot in view of the new ground(s) of rejection.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph Drodge at telephone number 571-272-1140. The examiner can normally be reached on Monday-Friday from 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Steve Griffin, can be reached at 571-272-1189. The fax phone number for the examining group where this application is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either private PAIR or Public PAIR, and through Private PAIR only for unpublished applications. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have any questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Application/Control Number: 10/699,417  
Art Unit: 1723

Page 8

JWD

April 10, 2007

  
JOSEPH DRODGE  
PRIMARY EXAMINER